Docket No.: 034299-698

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Florence Rivera et al.

SERIAL NO.:

10/580,453

**CONFIRMATION NO.: 4203** 

FILING DATE:

May 23, 2006

TITLE:

In Vivo Diagnostic And Therapy Micro-Device

**EXAMINER:** 

Not Yet Assigned

ART UNIT:

Not Yet Assigned

**CERTIFICATE OF MAILING** 

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: mail stop PCT, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on the date printed below:

Date: **3–30**-0

Signature:

eneva Walls

Mail Stop: PCT

**Commissioner For Patents** 

P.O. Box 1450

**Alexandria, VA 22313-1450** 

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Dear Sir:

This paper is in responsive to the Notification of Missing Requirements under 35 U.S.C. 371 dated February 21, 2007 to the above-identified application.

Remarks/Arguments begin on page 2 of this paper.

Docket No.: 034299-698

### **REMARKS/ARGUMENTS**

Attached hereto is a copy of the Declaration as originally filed in the United States Patent Office on May 23, 2006 which identifies the application by the International application number and International filing date in compliance with 37 CFR 1.497(a); a copy of the Transmittal Letter PTO-1390 form where Applicant herewith submits the following item noted on line number nine (9) and a copy of the return postcard identifying submission of the Declaration and Power of Attorney. Accordingly, it is hereby respectfully requested that the requirement for a Oath or Declaration of the inventors be withdrawn. Also, a copy of the Notification of Missing Requirements under U.S.C. 371 is enclosed.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted,

THELEN REID BROWN RAYSMAN & STEINER LLP

Dated: March  $\mathcal{ID}$ , 2007

Robert E. Krebs Reg. No. 25,885

THELEN REID BROWN RAYSMAN & STEINER LLP

P.O. Box 640640

San Jose, CA 95164-0640

Tel: (408) 292-5800 Fax: (408) 287-8040 LAP6 Rec'd PCT/PTO 0.2 APR 7/083/21 (07-06)
U.S. Patent'and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

		Application Number 10/580,453					
TRANSMITTAL	Filing Date		May 23, 2006				
FORM		First Named Inventor		Florence Rivera			
	•	Art Unit		Not Yet Assigned			
(to be used for all correspondence after	initial filing)	Examiner Name		Not Yet Assigned			
Total Number of Pages in This Submiss		Attorney Docket Numb	ber	034299-698			
ENCLOSURES (check all that apply)							
Fee Transmittal Form	☐ Drawing(s			After Allowance Communication to TC			
Fee Attached		related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		Convert to a al Application		Proprietary Information			
Affidavits/declaration(s)	Power of A	Attorney, Revocation f Correspondence Address	s	Status Letter			
Extension of Time Request	Terminal [	Disclaimer		Other Enclosure(s) (please identify below):			
Express Abandonment Request	Request for Refund CD, Number of CD(s)			Copies of the Declaration & POA; Transmittal Letter; Returned Postcard;			
☐ Information Disclosure Statement	CD, Numb	Notification of Missing Requirements Under 35 U.S.C 371; Postcard.					
Certified Copy of Priority Document(s)	Remarks						
Reply to Notification of Missing Requirements Under 35 U.S.C 371	Reply to Notification of Missing Requirements Under 35 U.S.C						
Reply to Missing Parts under 37 CFR1.52 or 1.53	Reply to Missing Parts						
SIGI	NATURE OF A	APPLICANT, ATTORNE	EY, OR	RAGENT			
Firm /							
Signature	Signature						
Printed Name	Printed Name Bobert E. Krebs						
Date 3002 Reg. No. 25,885							
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Signature	11	alla					
Typed or printed name Geneva V	Valls			Date 3-30-07			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PRIORITY DATE

11/27/2003



## United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandria, Virginia 22313-1450

PCT/FR04/50602

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/580,453	Florence Rivera	034299-000698
		INTERNATIONAL APPLICATION NO.

Robert E. Krebs Thelen Reid & Priest P.O. Box 640640 San Jose, CA 95164-0640 RECEIVED FEB 2 3 2007 TRBRS LLP

Patent Docket

**CONFIRMATION NO. 4203 371 FORMALITIES LETTER** 

\*OC000000022566151\*

I.A. FILING DATE

11/19/2004

Date Mailed: 02/21/2007

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/23/2006
- Copy of the International Search Report filed on 05/23/2006
- Copy of IPE Report filed on 05/23/2006
- Copy of Annexes to the IPER filed on 05/23/2006
- Preliminary Amendments filed on 05/23/2006
- Information Disclosure Statements filed on 09/05/2006
- Request for Immediate Examination filed on 05/23/2006

U.S. Basic National Fees filed on 05/23/2006

Assignment filed on 05/23/2006

Priority Documents filed on 05/23/2006

Specification filed on 05/23/2006

Claims filed on 05/23/2006

Abstracts filed on 05/23/2006

Drawings filed on 05/23/2006

ACTION DUE:	Missing Parts Due		
	4121107		
DUE/RMR DATE:	9/21/07		
SPADLING CATE	1/2/10/		

**DOCKETED BY:** 

The applicant needs to satisfy supplemental fees problems indicated below.

The second secon

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptarice under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$90 for a Large Entity:

\$130 Surcharge.

(A previous payment of \$40 will be applied to the additional fees indicated above.)

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc">http://www.uspto.gov/ebc</a>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

#### PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/580,453	PCT/FR04/50602	034299-000698

FORM PCT/DO/EO/905 (371 Formalities Notice)

Adjustment date: 04/05/2007 MKAYPAGH 05731/2006 MKAYPAGH 00000091 10580453 06 FC:1206 -40.00 OP

04/05/2007 MKAYPAGH 00000082 501698 10580453

01 FC:1617 90.00 DA 40.00 OP



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PTO-1390 (Rev. 07-2005) if use through 3/31/2007, OMB 0651-0021 .ce; U.S. DEPARTMENT OF COMMERCE wss it displays a valid OMB control number.

ATTORNEY 'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 034299-000698 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED November 19, 2004 PCT/FR2004/050602 November 27, 2003 TITLE OF INVENTION IN VIVO DIAGNOSTIC AND THERAPY MICRO-DEVICE APPLICANT(S) FOR DO/EO/US RIVERA, Florence, CAILLAT, Patrice, COCHET, Martine, BERTHIER, Jean Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. X is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. X are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. 🔀 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. EV310856484US A substitute specification. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

U.S. Patent at Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information.

20. Other items or information: Requeite PCT, PCT/IB/311, WO 2005/053775, PCT/ISA/220, PCT/ISA/231, PCT/IB/308; PCT/IPEA/401  The following fees have been submitted: 21. Season and the (37 CFR 1.492(a))	U.S. APPLICATION N	O. (if known, see 3	known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/FR2004/050602			034299-000698		
In 8 sici national fee (37 CFR 1.492(a))	20. Other items Requete	or information: PCT, PCT/IB/311, V	NO 2005/0537	75, PCT/ISA/220, PCT/ISA/2	210, PCT/ISA/237, I	PCT/IB/308	3; PCT/IPEA/40	1
In 8 sici national fee (37 CFR 1.492(a))								
21.	The followin	o fees have been s	ubmitted:			Ca	alculations	PTO use only
22.		al fee (37 CFR 1.49	92(a))	and the second of the second	\$300	\$	300	
If the written opinion prepared by ISAULS or the international preliminary examination report prepared by IFEAUS indicates all claims satisfy provisions of PCT Article 33(1)-(4)					<u> </u>		•	
All other situations	16 th a william pointing of	ranged by ISA/LIS	or the internati	onal preliminary examination	report prepared			
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)	by IPEA/US ind	icates all claims sat	isfy provisions	of PCT Article 33(1)-(4)			200	,
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						- <del>- •</del>	200	
IPEA/US indicates all claims satisfy provisions of PCF Article 3/11/(-1)	If the written eninion of	the ISA/LIS or the	international or	reliminary examination repor	prepared by			
International Search ling Authority.  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB	IDEA/LIS indicate	ee all claims satisfy	provisions of t	C F Article 33(1)-(4)		1		
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB	Search fee (37 CFR 1.	445(a)(2)) has been	n paid on the ir	nternational application to the	\$100 as an	s	400	
All other situations \$500  TOTAL OF 21, 22 and 23 = \$900  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.822(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(f)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof.  100 = 1/50 = \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims 24 - 20 = 4	International Search R	enort prepared by a	n ISA other th	an the US and provided to th	e Office or			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(f)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)	previously comm	nunicated to the US	by the IB				-	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(i)).  Total Sheets							900	
listing in compliance with 37 CFR 1.482(I); or (e) or Compliance with 37 CFR 1.492(I).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets	1	OTAL OF 21, 22 a	nd 23 =	in paper over 100 sheets (ex	cludina sequence	<del>                                     </del>	. ,	
The fee is \$250 for each additional 50 sheets of paper or irraction trieston.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) x \$250 \$  Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims 24 - 20 = 4 x \$50 \$ 200  Independent claims 3 - 3 = 0 x \$200 \$  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$  TOTAL OF ABOVE CALCULATIONS = \$ 1,100  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  SUBTOTAL = \$ 1,100  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 1,100  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	l — listing in complia	ance with 37 CFR 1	.821(c) or (e) o	or computer program listing in	an electronic			
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)  - 100 =	medium) (37 CF The fee is <b>\$25</b> 0	R 1.492(j)). for each additional	50 sheets of p	aper or fraction thereof.		<u>.</u>		·
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Independent claims    Substitute   Substitut					RATE			
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by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  TOTAL FEES ENCLOSED = \$ 1,140  Amount to be refunded: \$ Amount to be	TOTAL NATIONAL FEE =					\$	1,100	
TOTAL FEES ENCLOSED = \$ 1,140  Amount to be refunded: \$ Amount to be	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property							
refunded: \$ Amount to be								
					refunde	d:	\$	
								\$ .

PTO-1390 (Rev. 07-2005) through 3/31/2007, OMB 0651-0021 'S. DEPARTMENT OF COMMERCE on unless it displays a valid OMB control number.

U.S. Patent Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection.

а. 🔲	A check in the amount of	\$	to	cover the above fees is enclo	osed.
b. 🗌	Please charge my Depos A duplicate copy of this sl	it Account No. heet is enclosed.	50-1698	_ in the amount of \$	to cover the above fees.
c. 🔯	overpayment to Deposit A	Account No.	50-1698		this sheet is enclosed.
d. 🔯	information should not	be included on th	is form. Provi	mation on this form may be∝ de credit card information an	d authorization on PTO-2030.
NOTE: and gr	Where an appropriate to anted to restore the Inter	me limit under 37 national Applicat	CFR 1.495 ha	s not been met, a petition ( status.	to revive (37 CFR 1.137 (a) or (b)) must be filed
-					14561
SEND	ALL CORRESPONDENCE	ETO:		. /	111116/196
The P.C Sar	pert E. Krebs elen Reid & Priest LLP D. Box 640640 n Jose, CA 95164-0640 108-292-5800				Robert E. Krebs
F: 4	108-287-8040				NAME
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Serial/Patent.No.: unassigned 115814	Filing/Issue Date:
Applicant: RIVERA, Florence et al.	
Title: IN VIVO DIAGNOSTIC AND THERAPY MICRO-DE	
Δρ΄	7 Rec'd PLIPIU 20 MAI 2002
TRP Docket No.: 034299-000698	Atty/Secty initials: REK/lh
Date Mailed:	Docket Due Date: 5/27/06
The following has been received in the U.S. Patent & Traden	nark Office on the date stamped hereon:
Amendment/Response ( pgs.)	☐ IDS & PTO 1449 ( pgs.)
Appeal Brief ( pgs.) (in triplicate)	Pieces of Prior Art Enclosed
Application - Utility ( 25 pgs. with cover & abstract)	Issue Fee Transmittal
Application - Rule 1.53(b) Continuation ( pgs.)	Submission of Formal Drawings:
Application - Rule 1.53(b) Division ( pgs.)	10 # of sheets includes 11 figures
Application - Rule 1.53(b) CIP ( pgs.)	☐ Notice of Appeal
Application Rule 1.53(d) CPA ( pgs.)	○ Postcard     ○ Postcard
Application – PCT (pgs )	Preliminary Amendment (3 pgs.)
Application – Provisional (pgs.)	Reply Brief ( pgs.)
Application - Hovershall (1995)	Req and Cert. Not to Publish – Rule 1.213
1 1 Certificate of Confection	Request for Continued Examination (RCE) (pgs.)
Certificate of Mailing	Request for Extension of Time Month(s)
☑ Declaration & POA ( 3 pgs.)	Response to Notice to File Missing Parts
☐ Fee Transmittal	Copy of PTO Notice to File Missing Parts
Drawings (informat):	☐ Transmittal Letter (original & copy)
# of sheets includes figures	
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